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Section

Date: February 3, 1999

Box Missing Parts
Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Attorney Docket No.: TGI-003XX

Sir:

Transmitted herewith for filing with the **patent application** filed December-1, 1998:

Inventor: Gary R. Wolpert et al

Entitled: SECURITY DEVICE HAVING MULTIPLE SECURITY FEATURES AND METHOD OF MAKING SAME

Enclosed are:

- ☒ Letter to Commissioner of Patents and Trademarks
- ☒ Declaration and Power of Attorney (original signature)
- ☒ Recordation Form Cover Sheet
- ☒ An Assignment of the invention to: Technical Graphics Security Products, LLC
- ☐ A Certified copy of a _____ application
- ☒ A Verified statement re small entity status (\$1.9 and \$1.27)- Small Business Concern
- ☒ Information Disclosure Statement
- ☒ Citation of Art including 23 references
- ☐ _____ sheets of formal drawings (one set)
- ☒ Check in the amount of \$863.00
- ☐ _____ is hereby appointed Associate Attorney by:

Registration No.:

Attorney of Record: Kevin J. Carroll
Registration No.: 36,384

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$760.00
Independent 6	- 3	= 3	x \$78.00 =	\$234.00
Total 49	- 20	= 29	x \$18.00 =	\$522.00
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$270.00 =	
SUBTOTAL FILING FEE				\$1516.00
Small Entity filing, divide by 1/2. (Note: verified statement must be attached per \$1.9, \$1.27, \$1.28.)				
TOTAL FILING FEE				\$758.00

☒ The filing fee has been calculated above; check No. 5971 in the amount of \$863.00 (\$758 filing fee; \$65.00 missing parts fee; and \$40.00 Assignment Recording Fee) is enclosed.

☐ The filing fee will be submitted at a later date.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under \$1.16 associated with this communication or credit any overpayment to Deposit Account No. 02-3285.

SUBMIT IN TRIPLICATE

Attorney of Record: Kevin J. Carroll, Esquire
Registration No.: 36,384



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO. FILE NO.
09/203,449	12/01/98	WOLPERT	161-0034

BOURQUE AND ASSOCIATES
835 HANOVER STREET
SUITE 303
MANCHESTER NH 03104

NOT ASSIGNED

2736

DATE MAILED:

12/24/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 1446.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 720.00 to complete the basic filing fee and/or file a small entity statement claiming

such status (37 CFR 1.27). Additional claim fees of \$ 224.00, including any multiple dependent claim fees, are required.

\$ 224.00 for 3 independent claims over 3.

\$ 532.00 for 29 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (Z03) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/10/1999 INTL ELEM 00000035 0203449
380.00
117.00
261.00
65.00
01 FC:201
02 FC:202
03 FC:203
04 FC:205



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Gary R. Wolpert et al
Serial No. : 09/203,449
Filed : December 1, 1998
For : SECURITY DEVICE HAVING MULTIPLE SECURITY
FEATURES AND METHOD OF MAKING SAME
Examiner :
Attorney's Docket : TGI-003XX
Group Art Unit : 2736

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on February 3, 1999.

By Kevin J. Carroll
Kevin J. Carroll, Esquire
Registration No. 36,384
Attorney for Applicant(s)

L E T T E R

Box Missing Parts
Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of the
Application (copy enclosed), please find:

- [x] Missing Parts Fee in the amount of \$65.00
- [x] Basic Filing Fee in the amount of \$758.00
- [x] Recording of Assignment Fee in the amount of \$40.00
- [x] Signed Declaration and Power of Attorney
- [x] Signed Assignment and Recordation Form Cover Sheet
- [x] Form 1449 and Information Disclosure Statement
- [x] Verified Statement as a Small Entity

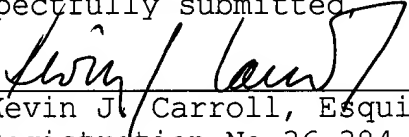
Serial No.: 09/203,449
Filed: 12/1/98
Group Art Unit: 2736

In the event any additional fee is required, please charge such amount to Patent and Trademark Office Deposit Account No. 02-3285. A triplicate copy of this letter is enclosed.

In the event a Petition for Extension of Time is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 02-3285 for the cost of such extension.

Respectfully submitted

By


Kevin J. Carroll, Esquire
Registration No. 36,384
Attorney for Applicant(s)

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